

Docket No. 215002US2PCT/sdc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takashi ASAHARA, et al.

SERIAL NO: 09/926,556

GAU: 2681

FILED: November 19, 2001

EXAMINER:

FOR: METHOD AND APPARATUS FOR AUTOMATIC FREQUENCY CONTROL AND DEMODULATOR

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

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REFERENCES

- ☒ The applicant(s) wish to make of record the references, some of which are cited in the attached European Search Report listed on the attached form PTO-1449. Copies of reference(s) AO through AS, have been received by the U.S. PCT Receiving Office from the International Bureau as acknowledged in the Notification of Acceptance.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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MAIER & NEUSTADT, P.C.

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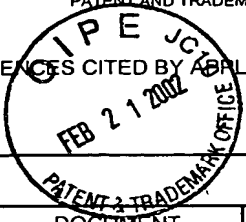
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 215002US2PCT		SERIAL NO. 09/926,556	
LIST OF REFERENCES CITED BY APPLICANT 				APPLICANT Takashi ASAHARA, et al.			
				FILING DATE November 19, 2001		GROUP 2681	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,710,796	01/20/98	M. JÄRVELÄ, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	9-214569	08/15/97	JAPAN (submitting English Abstract only)			
	AP	8-511930	12/10/96	JAPAN (submitting corr. US 5,710,796 only)			
	AQ	10-51356	02/20/98	JAPAN (submitting English Abstract only)			
	AR	11-68630	03/09/99	JAPAN (submitting English Abstract only)			
	AS	11-205092	07/30/99	JAPAN (submitting English Abstract only)			
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	Patent Abstracts of Japan, JP 9-093302, April 4, 1997					
	AX						
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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